

08-10-01

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PATENTS, TRADEMARKS, COPYRIGHTS

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BARRY R. LIPSITZ
DOUGLAS M. McALLISTER

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL
FILED UNDER 37 C.F.R. §1.53(b)



Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s):

Robert HORNUNG whose address is: **25 Westway Road, Southport, CT 06490**
and whose citizenship is: **United States**

John S. FRANCE whose address is: **633 Ridgeline Drive, Cuyahoga Falls, Ohio 44223**
and whose citizenship is: **United States**

Title: **METHOD FOR FABRICATING AN INTEGRATED MULTIPANE WINDOW SASH**

APPLICATION ELEMENTS:

- (1) ☒ Patent Application Specification, including Abstract and claims - 44 pages
- (2) ☒ Seventeen (17) sheet(s) of formal drawings, together with transmittal letter
- (3) ☐ A check in the amount of \$ _____ to cover the ☐ filing fee(\$.00) and/or ☐ Assignment Recordal Fee (\$40) is enclosed.
- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed in parent application _____ (attached hereto.)

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|-------------------------------------|-----------------------|--------------|----|-----------|---|-------|----|-----------|
| Basic Fee | | | | | | | \$ | 355.00 |
| Multiple Dependent Claims (\$260.) | | | | | | | | |
| Foreign Language Surcharge (\$130.) | | | | | | | | |
| | For | No. Filed | - | No. Extra | | Rate | | |
| EXTRA | Total Claims | 42 | 20 | 22 | x | \$9. | = | \$ 198.00 |
| CLAIMS | Independent Claims | 1 | 3 | 0 | x | \$40. | = | \$.00 |
| TOTAL FILING FEE | | | | | | | = | \$ 553.00 |

- (4) ☐ Declaration and Power of Attorney form -- 3 pages
 a. ☐ Newly executed
 b. ☐ Copy from a prior application
 c. ☐ Deletion of inventor(s) -- signed statement attached deleting inventor(s)
 named in the prior application.

- (5) ☒ Applicant claims Small Entity status

ACCOMPANYING APPLICATION PARTS:

- (6) ☐ Assignment document
 a. ☐ Newly executed (with \$40.00 recordal fee) and separate transmittal
 Form PTO-1595
 b. ☐ Copy from a prior application
 c. ☐ Please include assignee information when this application is published
 Assignee:
- (7) ☐ Preliminary Amendment
- (8) ☐ Certified Copy of Priority Document, together with separate transmittal letter
- (9) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited
 references
- (10) ☒ Return receipt postage prepaid postcard
- (11) ☒ Express Mail Certificate (Mailing Label No. EL 827616711 US)
- (12) ☒ Patent Application Data Entry Form
- (13) ☐ Other:

FOIA b 7 - D

- (14) [X] If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application no.: 09/882,295 filed June 15, 2001

Prior application information:

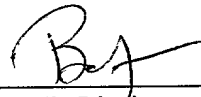
Examiner: _____ Group/Art Unit: _____

Status: Pending

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4(b) above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

- (15) [] Please amend the specification by inserting before the first line the sentence:
- (16) [] This application claims the benefit of _____ Patent Application No. _____ filed on _____.
- (17) [X] Correspondence address:
Barry R. Lipsitz
Law Offices of Barry R. Lipsitz
755 Main Street, Building 8
Monroe, CT 06468
Telephone: (203) 459-0200
- (18) [X] Pursuant to the provisions of 37 C.F.R. Sections 1.10 and 1.53, Applicants respectfully request that this application be assigned a serial number and a filing date of August 9, 2001, the date upon which the application was mailed to the Patent and Trademark Office by Express Mail (No. EL 827616711 US).

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
Monroe, CT 06468
(203) 459-0200

ATTORNEY DOCKET NO.: IND-109.1
Date: August 9, 2001

08/09/01
10053 U.S. PTO

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hornung et al.

Filed: Herewith

For: **METHOD FOR FABRICATING AN INTEGRATED MULTIPANE WINDOW SASH**

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: **EI 827616711 US**

Date of Deposit: **August 9, 2001**

I hereby certify that the attached:

- ☒ Return receipt postage prepaid postcard;
- ☒ Transmittal letter for new patent application;
- ☒ Patent Application Data Entry Form;
- ☒ Patent Application Specification including Abstract and Claims (44 pages);
- ☒ Seventeen (17) sheet(s) of formal drawings, together with transmittal letter.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and 1.53 on the date indicated above and is addressed to: **BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231**

Michele Hollis

(Typed or printed name of person mailing paper or fee)

Michele Hollis

(Signature of person mailing paper or fee)

Respectfully submitted,

Date: August 9, 2001

ATTORNEY DOCKET NO.: IND-109.1

Barry R. Lipsitz

Barry R. Lipsitz

Attorney for Applicant(s)

Registration No. 28,637

755 Main Street, Bldg. 8

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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hornung et al.

Application No.:

Filed: Herewith

For: **METHOD FOR FABRICATING AN INTEGRATED MULTIPANE WINDOW SASH**

DRAWING REVIEW BRANCH

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EL 827616711 US) addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D C. 20231 on August 9, 2001.

By: Michele Hollis
Michele Hollis

TRANSMITTAL OF FORMAL DRAWINGS

Dear Sir:

Enclosed are seventeen (17) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Building 8
Monroe, CT 06468
(203) 459-0200

Date: August 9, 2001

ATTORNEY DOCKET NO.: IND-109.1

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